

ANNUAL REPORT  
UC SANTA BARBARA  
LIBRARY

## MESSAGE FROM THE UNIVERSITY LIBRARIAN

I am pleased to present UC Santa Barbara Library's annual report for 2013-2014. This year, the Library has undergone a period of dramatic change. In August 2013, we broke ground on the ambitious Addition & Renovation Project that will deliver 60,000 additional square feet, 20% more study space, dedicated faculty areas, a state-of-the-art Special Collections facility, and an Art Library integrated under one roof.

We have also been building new services and programs under the guidance of recently hired expert librarians. A decade ago, positions such as Geospatial Data Curator, Scholarly Communication Program Coordinator, and Metadata Librarian were uncommon in libraries. Today, UCSB Library is on the cutting edge of providing support to campus researchers through these and other forward-leaning positions.



The following pages describe how the Library impacts students, faculty, scholars, and the greater community through our research collections, subject expertise, facilities and technologies, cultural programming, and innovative services. Thank you for the feedback you have provided in recent surveys and focus groups that allows us to transform the Library with your needs at the forefront.

In less than one year, we will throw open the doors to an inspiring and light-filled reinvented Library complex at the crossroads of campus, with ample room for collaboration, contemplation, and creation. I am grateful for your interest in and support of the Library, and look forward to celebrating its milestones with you.

A handwritten signature in black ink, which appears to read "Denise Stephens". The signature is fluid and cursive.

**DENISE STEPHENS**

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# MISSION, VISION, VALUES

This year, the Library unveiled a new set of mission, vision, and values statements that is guiding our work to support the University.

## Mission

*UC Santa Barbara Library enables exploration and collaboration for scholars in their intellectual engagement with the world of ideas and the creation of knowledge.*

## Vision

*UCSB Library empowers a lifetime of research, discovery and learning.*



Library employees celebrate groundbreaking with Chancellor Henry Yang and Marc Fisher, Vice Chancellor, Administrative Services.

## Values

### COLLABORATION

- We believe that creating programs and spaces in the Library for collaborative study and research supports the interdisciplinary nature of UCSB
- We believe that engagement with peers from different disciplines and institutions deepens everyone's understanding of the issues that face the Library, the University, and the communities served

### DIVERSITY

- We believe that developing collections and services that reflect the diversity of the UCSB community benefits all of its users
- We believe that a diversity of opinion and a diversity of background promote an organizational culture better able to anticipate and meet the needs of the communities the Library serves

### INTEGRITY

- We believe that the Library's policies, procedures and practices should be transparent
- We believe that the Library's communication should be proactive and engaged
- We believe that the Library should be mindful of how its collections, services and systems are interrelated components of our effectiveness

### INNOVATION

- We believe that experimentation is essential in order to meet the rapidly changing needs of Library users
- We believe that continuous improvement and learning are synonymous

### LEADERSHIP

- We believe that the Library should be the information leader for UCSB
- We believe that the Library serves a key role in providing stewardship of information resources
- We believe that the Library serves a key role in enhancing scholars' productivity

### RESEARCH & LEARNING

- We believe that the Library is a facilitator of the research mission of the University
- We believe that the Library has a critical role in the teaching mission of the University
- We believe that effective utilization of emerging technologies is essential to serve the research and teaching missions at UCSB
- We believe that the Library has an important role in promoting information competency

# WE'RE BUILDING FACULTY SERVICES

## DATA CURATION @ UCSB

For the past two years, the Library's Data Curation @ UCSB Project has been investigating data creation processes and curation needs on campus, thanks to funding from the UCSB Office of Research and the Executive Vice Chancellor's office.

A campus-wide survey and follow-up interviews with over 50 people revealed that researchers from across disciplines want help in many data curation areas. James Frew, Associate Professor at the Bren School of Environmental Science and Management, and Greg Janée of the Earth Research Institute have been leading this inquiry.

Now, a working group of faculty members, researchers, research staff, and Library employees has identified several data curation pilot projects to launch in 2014-2015. Librarians will work with researchers in

various divisions to address areas of concern for data producers—such as creating data management plans, obtaining archival storage and preserving data, identifying appropriate repositories for data, publishing and citing data, and more.

Through these projects, the Library will increase proficiency in managing and preserving research data and will further our goal of building a collaborative, sustainable infrastructure supporting campus-wide, cross-disciplinary curation of UCSB research datasets.

As part of these efforts, the Library has hired the first of a number of data curation subject experts, this one in the area of geospatial data.

Learn more at <http://www.library.ucsb.edu/data-curation>.

*"Very important. One can only speculate at the lost research insights and lost innovations that have occurred."*

—UCSB researcher on the Data Curation @ UCSB Project

*"Thank you for this study. I, and probably other faculty and staff, would benefit from learning more about data storage/dissemination options."*

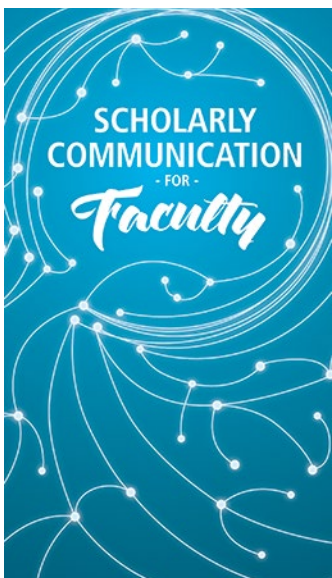
—UCSB researcher on the Data Curation @ UCSB Project



CONSTRUCTION AS OF NOVEMBER 1<sup>ST</sup>, 2013

## SCHOLARLY COMMUNICATION PROGRAM

The Scholarly Communication Program, which was established to assist faculty in addressing the challenges of scholarly publishing, expanded in 2013-2014 under the leadership of a new coordinator position to offer a growing roster of educational and consultative services.



145 people participated during Open Access Week and throughout the year in workshops and presentations offered by the Library on copyright, Creative Commons licenses, data management plans, low- or no-cost textbook models, open access dissertations, and related topics. In particular, the Library made it easier to request departmental presentations and individual consultations online through a new service called Scholarly Communication Express.

Since its inception two years ago, the Open Access Pilot Fund has distributed \$33,633 to 22 UCSB graduate students, post-docs, and professors from across the sciences and social sciences to cover the cost of author fees when publishing in open access publications. We are pleased to announce that the Fund is now permanent thanks to support from the UCSB Academic Senate.

As the UC Open Access Policy takes effect at UCSB this fall, the Library's Scholarly Communication Program will be partnering with Academic Personnel and the Office of Research to assist faculty in making versions of their published research openly accessible in UC's eScholarship repository.

Learn more about the Scholarly Communication Program at <http://www.library.ucsb.edu/scholcomm>.

# WE'RE BUILDING A CULTURAL HUB

## UCSB READS



Faculty from the Bren School of Environmental Science and Management discuss wildfire with Pulitzer-Prize winning author Timothy Egan.

The award-winning UCSB Reads program kicked off its eighth year in January 2014 with Chancellor Henry and Mrs. Dilling Yang, University Librarian Denise Stephens, and Associated Students President Jonathan Abboud distributing free copies of *The Big Burn: Teddy Roosevelt and the Fire That Saved America* to lines of eagerly-awaiting students in the Library.

Course integration, as well as community partnership, is what makes UCSB's common reading program unique. Over 750 students read the book as part of their coursework in classes offered by the Bren School of Environmental Science and Management, Education, History, Linguistics, and the Writing Program. Throughout winter quarter, 175 people attended book group discussions, interdisciplinary faculty panels, artist talks, and film screenings on campus and around the city. Author Timothy Egan, a veteran public speaker, praised the UCSB Library for extending its program beyond the walls of the University.

This year for the first time, the Library partnered with the Alumni Association to bring UCSB Reads to parents and alumni. The day after Egan delivered a talk to 515 people on campus, he granted a live online interview with UCSB Professor John Majewski (History) that 57 people logged on to view from near and far. You can still watch that interview on the Library's YouTube channel at [www.youtube.com/MyUCSBLibrary](http://www.youtube.com/MyUCSBLibrary).



## EXHIBITIONS



Faculty and guests view the exhibition, *The Emergence of the Bill of Rights*, in Special Collections during an opening reception.

Exhibitions situate the Library at the cultural crossroads of the University. This year, the Library was the site of two exhibitions of world-class collections on loan from SAGE Publications and its founder and chairman Sara Miller McCune. Both exhibitions were curated by UCSB faculty and graduate students, who combined materials from the Library's Special Collections to create compelling narratives. Students and faculty from across campus came to the Library for learning opportunities outside of the classroom, and visitors from up and down the coast were awed to find themselves in the same room as these rare primary documents.

On view from July 1 to December 15, 2013, *Conjuring India: British Views of the Subcontinent, 1780-1870* explored representations of colonial India through

illustrated books. Mira Rai Waits, a Ph.D. candidate in the History of Art and Architecture, curated the exhibition with advisement from Department Chair Swati Chattopadhyay.

Before it traveled to the National Constitution Center, UCSB Library was honored to have on loan a rare June 13, 1789 issue of *The New-York Daily Gazette* containing one of the earliest printings of James Madison's proposed amendments to the Constitution in addition to other historic documents, such as *Common Sense*. Professors Patricia Cline Cohen (History) and William B. Warner (English and Comparative Literature) curated these manuscripts as part of *The Emergence of the Bill of Rights*, on view from February 24 to July 31, 2014.

***"At a time when some are calling into question the value of the humanities, it is important to see the library leading the way in bringing before the public the vital texts and artifacts of our democracy."***

—William B. Warner, Professor, Department of English and Director, Early Modern Center

# WE'RE BUILDING A LIBRARY FOR THE FUTURE

It is with great enthusiasm that we broke ground in August 2013 after years of planning. This Addition & Renovation Project is the largest in the Library's history and the first to take place in over 30 years. Rapid enrollment growth, the rise of the digital age, the proliferation of new disciplines, and changing user preferences are the driving forces behind the Library's reinvention.

## KEY FEATURES

When its doors reopen in fall 2015, the new Library will transform the core of campus, supporting the diverse ways that information is organized, accessed, and utilized. The new architecture will be LEED (Leadership in Energy and Environmental Design) Silver certified and designed to anticipate and adapt to the changing needs of today's scholars. Expanded wireless access, spaces for individual and collaborative work, and technology-rich environments throughout provide for deep research and educational opportunities.

The new building will be easier to navigate, has potential for grand and casual gatherings, and adds 20% more study space.

### 60,000-Square-Foot, Three-Story Addition:

- 24-hour information commons
- Reading rooms
- Café
- Interactive instruction lab
- Group study areas
- Interdisciplinary research studio
- New Special Collections facility with seminar room, reading room, preservation lab, state-of-the-art environmental controls, and doubled storage capacity

### 92,000-Square-Foot Renovation, Two-Story Building:

- Art Library
- Exhibition gallery
- Community and event spaces
- Collaboration spaces, flexible classrooms, and reservable group study rooms

### Other Major Capital Enhancements:

- New location for Map and Imagery Laboratory
- New space for Music Library with shared seminar room and listening alcoves

*"If college is our home away from home, then the library is our living room at its center."*

—Jonathan Abboud, President, Associated Students, 2013-2014



## ARCHITECTURAL ILLUSTRATION

In addition to California state revenue bond funding, which provides for basic brick-and-mortar construction, the Library is also seeking private support to supply newly created spaces with the technology, equipment, and enhancements needed for the success of our scholarly community. Distinctive naming opportunities are available for spaces, endowments, and collections.

*"I may not know what a library will look like 35 years from now, but I do know that, as long as it remains flexible, the library will remain essential to graduate students."*

—Gary Haddow, President, Graduate Students Association,  
2013-2014



Former Associated Students President Jonathan Abboud, former Executive Vice Chancellor Gene Lucas, University Librarian Denise Stephens, Chancellor Henry Yang, and former Graduate Students Association President Gary Haddow at the October 1, 2013 groundbreaking ceremony.

# WE'RE BUILDING INNOVATIVE RESOURCES

## ALEXANDRIA DIGITAL RESEARCH LIBRARY

In early June 2014, UCSB Library launched phase one of a new digital library initiative: the Alexandria Digital Research Library (ADRL). ADRL builds on the expertise that UCSB developed in the 1990s with the renowned Alexandria Digital Library (ADL), an online repository for geospatial information. Unlike the old repository, the new ADRL is built to house diverse collections of digital research materials, such as videos, sound recordings, images, data, and maps. The goal is for ADRL to become a single federated dashboard to discover all of the Library's print and digital resources.

For phase one, the Library partnered with the Graduate Division to upload all UCSB theses and dissertations filed since electronic submission became the norm in 2011. Those can now be accessed at <http://www.alexandria.ucsb.edu> (note: a UCSB netID and password is required to access some full-text content). Newly-filed theses and dissertations will continue to be added, and over time the Library will work with the Graduate Division to digitize earlier theses and dissertations for ADRL.



Providing access for research, teaching and discovery to unique digitized research materials from UC Santa Barbara Library.

#### About This Site

The Alexandria Digital Research Library (ADRL) is UC Santa Barbara Library's home for collections of digitized research materials. Building on the expertise UCSB developed in the 1990s with the Alexandria Digital Library, the new ADRL will be a comprehensive digital library featuring unique research texts, data, images, maps, and audio and video recordings. ADRL, launched in April 2014 with its first collection: electronic theses and dissertations by UCSB students.

[Read more...](#)

#### How to Use This Site

Enter one or more words into the search box above to search the bibliographic records of all items in the Alexandria Digital Research Library, or select "Browse by collection" to explore a specific collection. Some materials in ADRL are subject to copyright and other access restrictions. Therefore, not all materials are made available to the public. When accessing the site from off-campus, UCSB users should login using a UCSB netID and password to view items restricted to the University community.

In phase two, now underway, a significant subset of the Library's existing digital collections—such as the Flying A Studios Photograph Collection and campus GIS data—will be incorporated into ADRL. Under the expert guidance of our metadata and information technology specialists, disparate data sources are being streamlined and brought under one digital roof.

As we continue to build the Alexandria Digital Research Library, we look forward to opening up hundreds of thousands of digital research assets in our possession that have long been hidden and inaccessible.

## DISCOGRAPHY OF AMERICAN HISTORICAL RECORDINGS

With renewed generous support from the Packard Humanities Institute (PHI), this year the Library was able to launch the Discography of American Historical Recordings (DAHR). This public research database of pre-1950s sound recordings supersedes the UCSB-created Encyclopedic Discography of Victor Recordings, a systematic discography of recordings issued by Victor Records that established a new standard for authoritative online discographic resources.

With DAHR, researchers can now access through a unified interface information on more than 100,000 master recordings (matrixes) made by multiple recording companies from 1892 to 1930. PHI's

\$500,000 grant is supporting the acquisition, keying, and ingest of master recording information from Columbia Records, Berliner Gramophone Co., Brunswick, and Okeh; the licensing of electronic publication rights; and database and website programming. A partnership with the Library of Congress is also allowing for the addition of some streaming audio.

Thanks to Packard Humanities Institute's funding and dedicated project staff, DAHR is closer to becoming a truly comprehensive national discography of early sound recordings. You can connect to the Discography of American Historical Recordings at <http://adp.library.ucsb.edu/>.



When Charles Kaufmann was researching his documentary on the pioneering African-American pianist Frances Walker, she told him about a song she often listened to as a child, "Alice Blue Gown." Locating the little-known recording, however, was a challenge.

Kaufmann struck musical gold when he turned to UC Santa Barbara's new Discography of American Historical Recordings. There he found the obscure waltz, recorded Oct. 5, 1920. After receiving permission from Sony Music Entertainment, UCSB Library's Special Collections was able to provide Kaufmann with a high-quality recording for the film.

—excerpted from Logan, Jim. "Musical Interlude." *The UC Santa Barbara Current*. Aug. 13, 2014, <http://www.news.ucsb.edu/2014/014357/musical-interlude>.

# WE'RE BUILDING FOR YOU

## STRATEGIC ROADMAP

Informed by data gathered from the LibQUAL+ Library satisfaction survey conducted at UCSB in 2013, the Library embarked this year on a strategic planning process. As part of these efforts, we invited more than 80 campus stakeholders—students, faculty, librarians, and administrators—to meet for focused and high-level conversations about the future of Library programs and services.

Participants in these conversations met on multiple occasions to review recent data about UCSB Library and the general environment for academic libraries today, and to broadly envision our Library's role in four areas:

- Discovery & delivery of Library resources & collections
- Research
- Learning
- Library as experience



The resulting product is a preliminary list of strategic themes. In fall 2014, the Library will put out a call to the UCSB community for open comments on these themes. Following the comment period, we will take several months to further develop specific directions and key priorities. The final Strategic Roadmap resulting from this collaborative, campus-wide planning process will be unveiled by spring quarter 2015.

More information about the draft Library Strategic Roadmap can be found at <http://www.library.ucsb.edu/about>.



CONSTRUCTION AS OF JUNE 30<sup>TH</sup>, 2014

## GRADUATING STUDENTS EXIT SURVEY

To gain a greater understanding of the role the Library plays in the undergraduate experience, for the first time this year we distributed an exit survey to graduating students. The response rate was robust, at 14%. Results revealed that undergraduate students place high value on many Library services, with these ranking the highest, in order of importance:

- Study space, solitary or quiet
- Study space, group
- Access to electronic research materials
- Computers, printers, scanners, wireless, Library laptops
- Course reserves
- Access to print and other physical research materials
- “The Study Bean” convenience store



The Library will administer this survey to future graduating classes as we continue to explore the connections between Library usage and student outcomes at UCSB.

Complete survey results for 2013-2014 can be found at <http://www.library.ucsb.edu/exit-survey>.

*“Thank you to all the staff that helped me find resources for my senior thesis!”*

—Graduating Student

*“Being able to talk with a librarian 24/7 online was the feature I was most impressed with.”*

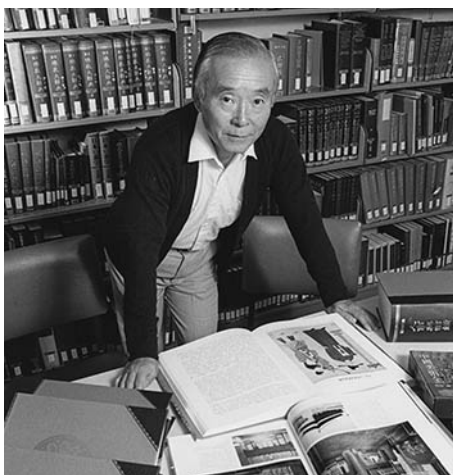
—Graduating Student

*“I don’t feel as though I would have graduated from UCSB if it wasn’t for the wonderful, productive environment the library provided.”*

—Graduating Student

# DEVELOPING FRIENDSHIPS:

## HENRY H. TAI EAST ASIAN COLLECTION ENDOWMENT FUND



In October the UCSB Library celebrated with the Chancellor and invited guests the creation of the Henry H. Tai East Asian Collection Endowment Fund. Honoring the life and legacy of Henry Tai (1922-2012), founder of the East Asian Library, this generous gift from his children allows the Library to make an enduring commitment to its Chinese, Japanese, and Korean language materials.

A native of China and a former journalist, Tai came to the United States in 1961 and earned a Masters of Library Science at Vanderbilt University in 1964. At the time of his arrival at the UCSB Library in 1966, only a few hundred volumes were held in what was then known as the Oriental Collection.

Today, the East Asian Library includes more than 168,000 volumes, with particular strengths in Chinese and Japanese literature and history. The UCSB Library also subscribes to many online East Asian resources, including over 50,000 electronic books in Chinese.

With sincere thanks to the Tai Family, students, faculty, scholars and the community can continue to rely on the UCSB Library for a deep research collection of East Asian language materials.

*"My father, Henry Tai, cared deeply about the UC Santa Barbara Library, where he served as the first head librarian for the East Asian Collection. My siblings and I are honored to celebrate his legacy and contribution to the Library by ensuring that Asian-language resources are available for generations of scholars to come through the establishment of an endowment for the Henry H. Tai East Asian Collection."*

—Susan Tai '74, UCSB Foundation Trustee and daughter of Henry Tai



# LIBRARY DONORS, 2013-2014

This was a breakthrough year for the Library. From establishing endowments, donating collections and rare materials, attending events, supporting our construction project, and contributing to the Library's enrichment fund—your commitment allows us to build and maintain a Library that best meets the needs of our faculty, students, and scholars. Thank you for your dedication and investment. We could not do this work without you.

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Every effort has been made to provide a complete and accurate listing of donors and gifts received between July 1, 2013 and June 30, 2014. Please accept our apology if a mistake or omission has occurred and contact Emily Teter, Development Assistant, at (805) 893-2187 or [eteter@library.ucsb.edu](mailto:eteter@library.ucsb.edu).

# 2013–2014 BY THE NUMBERS

The Library was a busy place in 2013-2014, as evidenced by the number of people who visited our buildings—foot traffic that remains high compared to libraries at universities of similar size. We maintain an active reference desk and a strong instructional program, including three for-credit courses that were taught by librarians in the past year. As we provide the bulk of our collection items electronically at this point, our website is as important a gateway to the Library as the physical building and print collections. Yet physical books remain important to many scholars, especially in the humanities, as can be seen by the continued circulation of local and Interlibrary Loan materials.

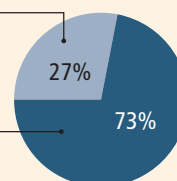
<b>1,299,619</b>	Gate count, including Arts Library
<b>861,661</b>	Website visits, including mobile site
<b>4,752</b>	Reference transactions
<b>538</b>	Instruction sessions
<b>6,706</b>	Instruction session participants
<b>4,473</b>	Attendance at Library events and outreach programs
<b>3,123,554</b>	Volumes held
<b>262,280</b>	Library items circulated
<b>36,315</b>	Interlibrary Loan items borrowed
<b>13,811</b>	Interlibrary Loan items loaned
<b>68,500</b>	Electronic journals
<b>632,000</b>	E-books
<b>149</b>	FTE employees

## EXPENDITURES 2013-2014

Information resources	\$5,860,806
Salaries ( <i>including student assistants, excluding fringe benefits</i> )	8,436,162
Building operations	637,109
Technology	334,382
Other operational expenditures	2,316,118
<b>Total Library expenditures</b>	<b>\$17,584,577</b>

Print resources  
\$1,582,418

Electronic resources  
\$4,278,388





ARCHITECTURAL ILLUSTRATION, UCSB LIBRARY  
GRAND OPENING FALL 2015

# UC SANTA BARBARA LIBRARY

[www.library.ucsb.edu](http://www.library.ucsb.edu)

UC Santa Barbara, Santa Barbara, CA 93106-9010

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